

ANIMA Investment SICAV

Summary of Investor Rights Disclosure

Introduction

This is a summary of your key rights as a Shareholder, whose name appears on the share register of ANIMA Investment SICAV (the “**Fund**”) for which ANIMA SGR S.p.A. is the appointed management company (the “**Management Company**”), for the purposes of the Regulation (EU) 2019/1156 on facilitating cross border distribution of collective investment undertakings.

Should you require any further information relating to the terms of your investment, please refer to the Prospectus, the Articles of Association and the Application Form of the Fund.

It is not intended to be and should not be considered as an exhaustive list of all rights which registered Shareholders may have in respect of the Fund.

All capitalised terms herein contained shall have the same meaning in this summary as in the Prospectus unless otherwise indicated.

Entitlement to receive certain information relating to your investment in the Fund

As a Shareholder in the Fund, a copy of the latest annual and half yearly financial statements of the Fund will be available to you on www.animasgr.it within a timely fashion after the end of the relevant financial period and a copy of such reports shall be provided to you on request and free of charge and will be available to the public at the registered office of the Fund.

The latest Net Asset Value per Share is available at the registered office of BNP PARIBAS S.A. (Luxembourg Branch) (the “**Administrative Agent**”) during normal business hours and at the following website www.animasgr.it.

Entitlement to redeem your interest in the Fund

Shareholders in the Fund are entitled to redeem their shareholding and receive the redemption proceeds relating to such Shares within the timeframe and subject to such conditions as detailed in the Fund’s Prospectus.

Voting rights and related matters

The Shares may be issued in registered form only. Each entire Share of whatever class and regardless of the Net Asset Value per Share within its class entitles its owner to one vote, which he/she may exercise at the general meeting of Shareholders or at other meetings of the respective Sub-Fund, either in person or through a proxy, in accordance with the conditions set down in the Articles of Association and Prospectus of the Fund.

Entitlement to make a complaint

As a Shareholder in the Fund, you are entitled to make a complaint free of charge. Any such complaint must be handled by the Management Company promptly and effectively.



The final outcome of the complaint, containing the decisions of the Management Company, is notified within 60 days, in writing, by registered letter or by Certified e-mail.

Further information on the handling of complaints relating to the Fund is available from www.animasgr.it.

Furthermore, after following the Fund's complaints process, if you are still not satisfied with the response received, you have the possibility to use the procedure for the out-of-court settlement of complaints with the Luxembourg financial supervisory authority Commission de Surveillance du Secteur Financier ("**CSSF**") (see also www.cssf.lu).

Investor rights against service providers of the Fund

Shareholders in the Fund do not have any direct contractual rights against any service provider appointed in respect of the Fund because of the absence of a direct contractual relationship between the Shareholder and the relevant service provider. Instead, the proper plaintiff in an action in respect of which a wrongdoing is alleged to have been committed against the Fund or its Management Company by the relevant service provider is typically the Fund or its Management Company as applicable.

Notwithstanding the foregoing, a Shareholder has a regulatory right of action to pursue the Depositary appointed by the Fund in respect of (i) any loss of an asset held in the custody of the Depositary or any delegate of the Depositary or (ii) any other losses caused by the Depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations provided that this does not lead to a duplication of redress or to unequal treatment of Shareholders in the Fund.

Representative actions under Luxembourg law

The Directive (EU) 2020/1828 (the "**Directive**") on representative actions for the protection of the collective interests of consumers provides for the establishment of a redress mechanism for consumers.

The transposition deadline for the Directive was 25 December 2022 and the measures should apply from 25 June 2023.

General

It should be noted that this summary addresses rights conferred on Shareholders under the applicable legislation governing the operation of the Fund in which you are invested. You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above, including for example, your rights as a data subject under Regulation (EU) 2016/679.